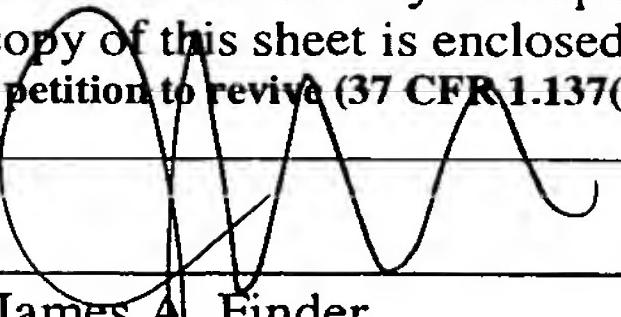


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|--|--|---|--|
| FORM PTO-1390 (REV. 2-2005) | | U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE | ATTORNEY'S DOCKET NUMBER P/1071-1615 |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/573058 | |
| INTERNATIONAL APPLICATION NO. PCT/JP2004/013111 | INTERNATIONAL FILING DATE 9 September 2004 | PRIORITY DATE CLAIMED 22 September 2003 | |
| TITLE OF INVENTION METHOD AND DEVICE FOR MOUNTING LIGHT EMITTING ELEMENT | | | |
| APPLICANT(S) FOR DO/EO/US Shigeki FUKUNAGA | | | |
| <p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <p><input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.</p> <p><input type="checkbox"/> [] This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <ul style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An <u>Executed</u> oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> | | | |
| Items 11 to 20 below concern other document(s) or information included: <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76</p> <p>15. <input checked="" type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information (<i>specify</i>): <i>10 sheets of drawings; EFS form; postcard; publication, International Search Report, PTO-1449 form, Written Opinion, three cited references, and marked-up copy of substitute specification</i></p> | | | |
| EXPRESS MAIL CERTIFICATE | | | |
| <p>I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label #EV324436270US) in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 1450, on March 21, 2006:</p> <p><u>Dorothy Jenkins</u> Signature</p> | | | |
| Name of Person Mailing Correspondence | | March 21, 2006 Date of Signature | |

Dorothy Jenkins
Name of Person Mailing Correspondence

Page 1 of 2

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|--|---|--|---------|----|
| U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 107573058 | INTERNATIONAL APPLICATION NO. PCT/JP2004/013111 | ATTORNEY'S DOCKET NUMBER P/1071-1615 | | |
| | | CALCULATIONS PTO USE ONLY | | |
| The following fees have been submitted | | | | |
| 21. [X] Basic national fee..... | | \$300.00 | | |
| 22. [X] Examination fee | | \$200.00 | | |
| If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... | | \$100 | | |
| All other situations..... | | \$200 | | |
| 23. [X] Search fee | | \$400.00 | | |
| Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... | | \$100 | | |
| International Search Report prepared and provided to the Office..... | | \$400 | | |
| All other situations..... | | \$500 | | |
| TOTAL OF 21, 22 and 23 = | | \$900.00 | | |
| [] Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | \$ | | |
| Total Sheets | Extra sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE | |
| - 100 = | /50 = | | x \$250 | \$ |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | \$ | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ |
| Total Claims | 14 - 20 = | 0 | x \$ 50 | \$ |
| Independent Claims | 2 - 3 = | 0 | x \$200 | \$ |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | + \$360 | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | \$900.00 | | |
| [] Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. | | \$ | | |
| SUBTOTAL = | | \$900.00 | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). + | | \$ | | |
| TOTAL NATIONAL FEE = | | \$900.00 | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | \$40.00 | | |
| TOTAL FEES ENCLOSED = | | \$940.00 | | |
| | | Amount to be refunded: | \$ | |
| | | Amount to be charged: | \$ | |
| a. [X] A check (check number <u>Q4101</u>) in the amount <u>\$940.00</u> to cover the above fees is enclosed. b. [] Please charge my Deposit Account No. 15-0700 in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0700. A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | |
| SEND ALL CORRESPONDENCE TO: James A. Finder Ostrolenk, Faber, Gerb & Soffen, LLP 1180 Avenue of the Americas New York, New York 10036-8402 | |  James A. Finder Registration Number: 30,173 Telephone: (212) 382-0700 | | |

10/573058

AP9 Rec'd PCTMPTO 21 MAR 2006

P/1071-1615

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

New York, New York

Shigeki FUKUNAGA

Date: March 21, 2006

Serial No.: To be assigned

Group Art Unit: Unassigned

Filed: Herewith

Examiner: Unassigned

For: METHOD AND DEVICE FOR MOUNTING LIGHT EMITTING ELEMENT

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUBMISSION

Sir:

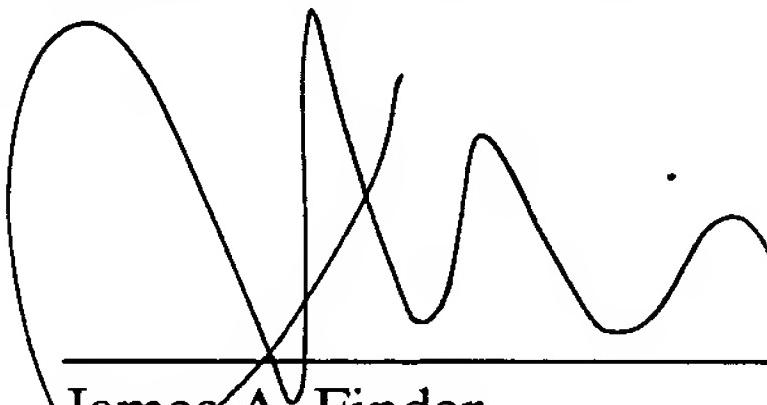
Submitted herewith is a copy of art together with a form listing the same for the convenience of the Examiner.

EXPRESS MAIL CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label EV 324 436 270 US) in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on March 21, 2006:

Dorothy Jenkins
Name of Person Mailing Correspondence

Respectfully submitted,



James A. Finder

Registration No.: 30,173
OSTROLENK, FABER, GERB & SOFFEN, LLP
1180 Avenue of the Americas
New York, New York 10036-8403
Telephone: (212) 382-0700

Signature
March 21, 2006

Date of Signature

JAF:lf
Enclosures